

## Exhibit D



**BERNARD L. MADOFF**  
**Investment Securities**  
**New York □ London**

08-01789-cgm Doc 1534-4 Filed 01/07/10 Entered 01/07/10 20:12:34 Exhibit D -  
885 Third Avenue Part 1 Pg 2 of 22  
New York, NY 10022  
(212) 230-2400  
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Fax (212) 838-4061

Affiliated with:  
Madoff Securities International Ltd.  
43 London Wall  
London England EC2M 5TB  
071-374 0891

NEPHROLOGY ASSOC PC PEN PLAN

85 VERDUN AVENUE  
NEW ROCHELLE NY 10804

PERIOD ENDING  
12/31/97

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YOUR ACCOUNT NUMBER

1-CM135-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
				BALANCE FORWARD			196,802.71
12/12				MCDONALDS CORP	DIV		70.13
12/15				DIV 12/01/97 12/12/97			
				COCA COLA CO	DIV		428.40
				DIV 12/01/97 12/15/97			
12/16		1,632	3389	JOHNSON & JOHNSON	65 3/8		106,692.00
12/16		3,060	6873	COCA COLA CO	63 7/8		195,457.50
12/16		850	10357	MCDONALDS CORP	46 3/8		39,418.75
12/16		408	13840	MERRILL LYNCH & CO INC	73 5/8		30,039.00
12/16		510	17321	MINNESOTA MNG & MFG CO	92 7/8		47,366.25
12/16		952	20805	MOBIL CORP	73 3/8		69,853.00
12/16		1,496	24289	MERCK & CO	105 1/8		157,267.00
12/16		1,462	27773	MICROSOFT CORP	139 1/8		203,400.75
12/16		884	31257	NATIONS BANK CORP	62 3/8		55,139.50
12/16		850	36129	AMERICAN INTL GROUP INC	102 1/4		86,912.50
12/16		680	39613	AMERITECH CORP	77 5/8		52,785.00
12/16		612	43097	AMOCO CORP	87 1/4		53,397.00
12/16		1,904	43551	PEPSICO INC	35 3/8		67,354.00
12/16		612	47035	SCHLUMBERGER LTD	81 1/8		49,648.50
12/16		578	47653	AMERICAN EXPRESS COMPANY	86		49,708.00
12/16		1,972	50518	AT & T CORP	57 7/16		113,266.75
12/16		1,224	51137	BOEING CO	48 13/16		59,746.50
12/16		2,822	54002	WAL-MART STORES INC	39 3/4		112,174.50
12/16		884	54621	BANKAMERICA CORP	74 13/16		66,134.25
				CONTINUED ON PAGE 2			

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES



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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
12/16		3,026	57486	EXXON CORP	62 3/8		188,746.75
12/16		952	58105	BELL ATLANTIC CORP	89 1/4		84,966.00
12/16		1,224	61589	BRISTOL MYERS SQUIBB COMPANY	96 5/16		117,886.50
12/16		816	65072	CHRYSLER CORP	35 1/8		28,662.00
12/16		578	68550	CITICORP	128 1/2		74,273.00
12/16		816	72034	CISCO SYSTEMS INC	82 3/4		67,524.00
12/16		1,394	75518	DU PONT E I DE NEMOURS & CO	62 9/16		87,212.13
12/16		816	79002	THE WALT DISNEY CO	92 7/8		75,786.00
12/16		1,462	82486	FORD MOTOR COMPANY	46 1/2		67,983.00
12/16		4,046	85970	GENERAL ELECTRIC CO	72 1/2		293,335.00
12/16		884	89454	GENERAL MOTORS CORP	63 3/8		56,023.50
12/16		1,292	92938	HEWLETT PACKARD CO	62		80,104.00
12/16		1,224	96422	INTERNATIONAL BUSINESS MACHS	102 3/4		125,766.00
12/16		2,006	99906	INTEL CORP	72 1/4		144,933.50
12/16		70,338	61134	FIDELITY SPARTAN	1		70,338.00
12/17				U S TREASURY MONEY MARKET			
				FIDELITY SPARTAN	DIV		172.68
				U S TREASURY MONEY MARKET			
12/17	3,250,000		64989	DIV 12/16/97			
				U S TREASURY BILL	98.790	3,210,675.00	
				DUE 03/12/1998			
				3/12/1998			
2/19				AMERICAN INTL GROUP INC	DIV		63.75
				DIV 12/05/97 12/19/97			
2/24				NATIONS BANK CORP	DIV		335.92
				DIV 12/05/97 12/24/97			
				CONTINUED ON PAGE 3			



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12/31		3,250,000	69951	U S TREASURY BILL DUE 03/12/1998 3/12/1998	98.990		3,217,175.00
12/31	3,270,000		74204	TRANS TO 40 ACCT U S TREASURY BILL DUE 4/23/1998 4/23/1998	JRNL 98.380	160,813.25 3,217,026.00	
12/31	5,834		77776	FIDELITY SPARTAN U S TREASURY MONEY MARKET  NEW BALANCE	1	5,834.00	.47
	5,834			SECURITY POSITIONS FIDELITY SPARTAN	MKT PRICE 1		
	3,270,000			U S TREASURY MONEY MARKET U S TREASURY BILL DUE 4/23/1998 4/23/1998	98.380		
				MARKET VALUE OF SECURITIES LONG SHORT 3,222,860.00			



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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
				YEAR-TO-DATE SUMMARY			
				DIVIDENDS			26,363.53
				GROSS PROCEEDS FROM SALES			37,980,813.46



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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
				BALANCE FORWARD		196,802.25	
12/12	68		35809	S & P 100 INDEX	1 13/16	12,393.00	
12/12		68	40214	DECEMBER 470 CALL			
				S & P 100 INDEX	7 1/8		48,382.00
12/31				DECEMBER 460 PUT			
				TRANS FROM 30 ACCT	JRNL		160,813.25
				NEW BALANCE			



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				BALANCE FORWARD			150,210.59
12/02				FORD MOTOR COMPANY	DIV		831.60
12/02				DIV 11/01/96 12/02/96			
				INTEL CORP	DIV		81.00
12/06				DIV 11/01/96 12/01/96			
				BOEING CO	DIV		176.40
12/10				DIV 11/15/96 12/06/96			
				AMOCO CORP	DIV		585.00
12/10				DIV 11/06/96 12/10/96			
				EXXON CORP	DIV		1,777.50
12/10				DIV 11/12/96 12/10/96			
				GENERAL MOTORS CORP	DIV		558.00
12/10				DIV 11/14/96 12/10/96			
				INTERNATIONAL BUSINESS MACHS	DIV		330.75
12/10				DIV 11/08/96 12/10/96			
				JOHNSON & JOHNSON	DIV		453.15
12/10				DIV 11/19/96 12/10/96			
				MOBIL CORP	DIV		720.00
12/12				DIV 11/05/96 12/10/96			
				BANKAMERICA CORP	DIV		364.50
12/12				DIV 11/20/96 12/12/96			
				MINNESOTA MNG & MFG CO	DIV		374.85
12/12				DIV 11/22/96 12/12/96			
				MONSANTO CO	DIV		168.75
				DIV 11/15/96 12/12/96			
				CONTINUED ON PAGE 2			

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12/13				MCDONALDS CORP	DIV		97.88
				DIV 12/02/96 12/13/96			
12/16		1,890	1757	HEWLETT PACKARD CO	54		102,060.00
12/16		945	4313	INTERNATIONAL BUSINESS MACHS	156		147,420.00
12/16		1,620	6869	INTEL CORP	136 1/2		221,130.00
12/16		2,385	9423	JOHNSON & JOHNSON	51 3/4		123,423.75
12/16		4,410	11979	COCA COLA CO	49 1/8		216,641.25
12/16		1,305	14534	MCDONALDS CORP	46 5/8		60,845.63
12/16		765	17082	MINNESOTA MNG & MFG CO	83 1/8		63,590.63
12/16		720	19638	MOBIL CORP	119 5/8		86,130.00
12/16		2,205	22193	MERCK & CO	79 1/8		174,470.63
12/16		1,215	29861	ORACLE CORPORATION	48 1/4		58,623.75
12/16		2,835	32417	PEPSICO INC	29 1/2		83,632.50
12/16		2,925	35192	AT & T CORP	38 3/8		112,246.88
12/16		4,140	37748	WAL-MART STORES INC	24 3/8		100,912.50
12/16		2,250	40304	EXXON CORP	94 1/2		212,625.00
12/16		855	60919	AMERICAN INTL GROUP INC	109 1/2		93,622.50
12/16		945	63475	AMERITECH CORP	55 3/4		52,683.75
12/16		900	66030	AMOCO CORP	76 1/2		68,850.00
12/16		630	68586	BOEING CO	95 1/4		60,007.50
12/16		675	71142	BANKAMERICA CORP	97 1/2		65,812.50
12/16		765	73695	BELL ATLANTIC CORP	59 3/4		45,708.75
12/16		945	76236	BRISTOL MYERS SQUIBB COMPANY	110 3/4		104,658.75
12/16		1,395	78770	CHRYSLER CORP	35		48,825.00
12/16		855	81112	CITICORP	101 1/4		86,568.75
				CONTINUED ON PAGE 3			



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85 VERDUN AVENUE  
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12/16		1,170	83886	CISCO SYSTEMS INC	68		79,560.00
12/16		1,035	86442	DU PONT E I DE NEMOURS & CO	93		96,255.00
12/16		1,215	88998	THE WALT DISNEY CO	72		87,480.00
12/16		630	91552	EASTMAN KODAK CO	80 3/8		50,636.25
12/16		2,160	94088	FORD MOTOR COMPANY	33		71,280.00
12/16		3,015	96644	GENERAL ELECTRIC CO	97		292,455.00
12/16		1,395	99200	GENERAL MOTORS CORP	58		80,910.00
12/16				COCA COLA CO	DIV		551.25
12/16				DIV 12/01/96 12/15/96			
12/16				DU PONT E I DE NEMOURS & CO	DIV		589.95
12/17		8,381	43628	DIV 11/15/96 12/14/96			
12/18				FIDELITY CASH RESERVES SBI	1		8,381.00
12/18				FIDELITY CASH RESERVES SBI	DIV		20.59
12/18	3,150,000		46448	DIV 12/17/96			
12/18				U S TREASURY BILL	99.900	3,146,850.00	
12/20				DUE 12/26/1996			
12/20				AMERICAN INTL GROUP INC	DIV		85.50
12/24				DIV 12/06/96 12/20/96			
12/24		3,150,000	49511	CHECK	CW	500,000.00	
12/24				U S TREASURY BILL	99.980		3,149,370.00
12/24				DUE 12/26/1996			
12/24	2,660,000		52858	U S TREASURY BILL	99.310	2,641,646.00	
12/31				DUE 2/13/1997			
12/31		2,660,000	57633	TRANS TO 40 ACCT	JRNL	159,300.50	
12/31				U S TREASURY BILL	99.390		2,643,774.00
12/31				DUE 2/13/1997			
				CONTINUED ON PAGE 4			





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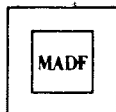
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				BALANCE FORWARD			325,538.25
12/11				MCDONALDS CORP	DIV		54.00
12/15				DIV 11/30/98 12/11/98			
				COCA COLA CO	DIV		322.50
12/18				DIV 12/01/98 12/15/98			
				AMERICAN INTL GROUP INC	DIV		50.40
				DIV 12/04/98 12/18/98			
12/22		2,800	2939	GENERAL ELECTRIC CO	93 1/8		260,750.00
12/22		550	6378	GENERAL MOTORS CORP	71 1/2		39,325.00
12/22		900	9818	HEWLETT PACKARD CO	65		58,500.00
12/22		800	13258	INTERNATIONAL BUSINESS MACHS	166		132,800.00
12/22		1,450	16698	INTEL CORP	116		168,200.00
12/22		1,150	20136	JOHNSON & JOHNSON	80 1/8		92,143.75
12/22		2,150	23576	COCA COLA CO	64 3/4		139,212.50
12/22		600	27015	MCDONALDS CORP	71 1/4		42,750.00
12/22		700	30454	MOBIL CORP	88 7/8		62,212.50
12/22		1,000	33894	MERCK & CO	147 1/2		147,500.00
12/22		2,150	37334	MICROSOFT CORP	133 3/4		287,562.50
12/22		1,000	44358	BANC ONE CORP	53		53,000.00
12/22		850	47798	ORACLE CORPORATION	39		33,150.00
12/22		1,250	51237	PEPSICO INC	38 1/8		47,656.25
12/22		1,150	54677	PROCTER & GAMBLE CO	87 1/8		100,193.75
12/22		900	57953	AMERICAN INTL GROUP INC	96 1/4		86,625.00
12/22		1,550	58117	AT & T CORP	71 1/8		110,243.75
				CONTINUED ON PAGE 2			



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12/22		950	61393	AMERITECH CORP	57 5/8		54,743.75
12/22		1,900	61557	WAL-MART STORES INC	75 5/8		143,687.50
12/22		400	64832	AMERICAN EXPRESS COMPANY	97 3/4		39,100.00
12/22		2,100	64997	EXXON CORP	74 1/4		155,925.00
12/22		850	68266	BOEING CO	31 15/16		27,146.88
12/22		1,500	71705	BANKAMERICA CORP NEW	59 3/4		89,625.00
12/22		1,350	75145	BELL ATLANTIC CORP	55 3/8		74,756.25
12/22		850	78585	BRISTOL MYERS SQUIBB COMPANY	123 1/4		104,762.50
12/22		2,000	82025	CITI GROUP INC	49 5/8		99,250.00
12/22		1,350	85465	CISCO SYSTEMS INC	84 1/4		113,737.50
12/22		1,000	88905	DU PONT E I DE NEMOURS & CO	54		54,000.00
12/22		1,750	92345	THE WALT DISNEY CO	31		54,250.00
12/22		1,050	95785	FORD MOTOR COMPANY	56 11/16		59,521.88
12/22				FIDELITY SPARTAN	DIV		120.66
12/22	2,920,000		69417	U S TREASURY MONEY MARKET DIV 12/22/98			
12/22				U S TREASURY BILL DUE 2/11/1999	99.380	2,901,896.00	
12/22		46,098	99240	2/11/1999 FIDELITY SPARTAN	1		46,098.00
12/23				U S TREASURY MONEY MARKET BANKAMERICA CORP NEW	DIV		675.00
12/31				DIV 12/04/98 12/23/98			
12/31		2,920,000	73692	TRANS TO 40 ACCT	JRNL	394,087.50	
12/31				U S TREASURY BILL DUE 2/11/1999	99.500		2,905,400.00
				2/11/1999			
				CONTINUED ON PAGE 3			



**BERNARD L. MADOFF**  
**Investment Securities**  
New York □ London

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NEPHROLOGY ASSOC PC PEN PLAN

85 VERDUN AVENUE  
NEW ROCHELLE NY 10804

PERIOD ENDING  
**12/31/98**

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YOUR ACCOUNT NUMBER  
**1-CM135-3-0**

YOUR TAX PAYER IDENTIFICATION NUMBER

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
12/31	2,950,000		80606	U S TREASURY BILL DUE 4/29/1999	98.560	2,907,520.00	
12/31	8,086		84306	4/29/1999 FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	8,086.00	
				NEW BALANCE			.57
	8,086			SECURITY POSITIONS FIDELITY SPARTAN	MKT PRICE 1		
	2,950,000			U S TREASURY MONEY MARKET U S TREASURY BILL DUE 4/29/1999	98.560		
				4/29/1999 MARKET VALUE OF SECURITIES LONG SHORT 2,915,606.00			



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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
				YEAR-TO-DATE SUMMARY			
				DIVIDENDS			21,695.01
				GROSS PROCEEDS FROM SALES			45,024,089.09

**PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES**





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				BALANCE FORWARD		<b>326,537.50</b>	
12/18	50		40774	S & P 100 INDEX	13 1/2	<b>67,550.00</b>	
12/21		50		DECEMBER 570 CALL			
				S & P 100 INDEX	DELV		
				DECEMBER 560 PUT			
12/31				EXPIRED WORTHLESS	JRNL		<b>394,087.50</b>
				TRANS FROM 30 ACCT			
				NEW BALANCE			

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BERNARD L. M.  
Investment Secu  
New York ☐ Long

*McLaff*

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PORTFOLIO MANAGEMENT REPORT AS OF 12/31/99

NEPHROLOGY ASSOC

THIS REPORT IS PROVIDED TO ASSIST YOU IN EVALUATING THE PERFORMANCE OF YOUR  
ACCOUNT AND SHOULD NOT BE USED FOR INCOME TAX PURPOSES.

85 VERDUN AVENUE  
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NEPHROLOGY ASSOC PC PEN PLAN

1-CM135-3

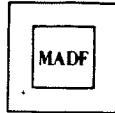
85 VERDUN AVENUE  
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STARTING EQUITY FOR CURRENT YEAR	2,915,606.57CR
CAPITAL ADDITIONS	282,000.00CR
CAPITAL WITHDRAWALS	1,000,000.00-
REALIZED P/L FOR CURRENT YEAR	466,778.90CR
UNREALIZED P/L ON OPEN SECURITY POSITIONS	
CURRENT CASH BALANCE	.47CR
NET MARKET VALUE OF OPEN SECURITIES POSITIONS	2,664,385.00 NET LONG
TOTAL EQUITY	2,664,385.47CR

ANNUALIZED RETURN FOR CURRENT YEAR 20.99 %

DATE	BOUGHT RECEIVED OR LONG	DELIV
12/13		
12/31		
12/31		
12/31		
12/31		
12/31		
12/31	1,360,000	
12/31	1,360,000	
12/31	11,433	
12/31		
	11,433	

PLEASE RETAIN THIS STATEMENT FOR INCOME



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				BALANCE FORWARD		603,793.77	
12/01				BOEING CO	DIV		117.60
				DIV 11/10/00 12/01/00			
12/11				EXXON MOBIL CORP	DIV		1,478.40
				DIV 11/13/00 12/11/00			
12/11				INTERNATIONAL BUSINESS MACHS	DIV		218.40
				DIV 11/10/00 12/09/00			
12/12				JOHNSON & JOHNSON	DIV		425.60
				DIV 11/21/00 12/12/00			
12/14				HOME DEPOT INC	DIV		89.60
				DIV 11/30/00 12/14/00			
12/15				AMERICAN INTL GROUP INC	DIV		82.88
				DIV 12/01/00 12/15/00			
12/15				COCA COLA CO	DIV		404.60
				DIV 12/01/00 12/15/00			
12/18				FIDELITY SPARTAN	DIV		48.87
				U S TREASURY MONEY MARKET			
				DIV 12/18/00			
12/18		16,648	22905	FIDELITY SPARTAN	1		16,648.00
				U S TREASURY MONEY MARKET			
12/19		1,610	484	TYCO INTERNATIONAL LTD	56 1/4		90,562.50
12/19		1,610	3363	BANK OF AMERICA	42		67,620.00
12/19		1,470	4220	VIACOM INC	53 3/4		79,012.50
				CLASS B NON VOTING SHS			
				CONTINUED ON PAGE 2			

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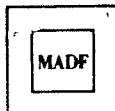
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12/19		1,890	7099	BRISTOL MYERS SQUIBB COMPANY	69 1/4		130,882.50
12/19		2,520	7956	VERIZON COMMUNICATIONS	57		143,640.00
12/19		4,340	10835	CITI GROUP INC	51		221,340.00
12/19		1,540	11692	WELLS FARGO & CO NEW	49 15/16		76,903.75
12/19		6,580	14571	CISCO SYSTEMS INC	52 1/16		342,571.25
12/19		4,340	15428	WAL-MART STORES INC	51		221,340.00
12/19		1,960	18305	THE WALT DISNEY CO	29		56,840.00
12/19		3,360	19164	EXXON MOBIL CORP	85 3/4		288,120.00
12/19		2,100	22032	EMC CORPORATION MASS	76 1/2		160,650.00
12/19		9,450	25768	GENERAL ELECTRIC CO	51 15/16		490,809.38
12/19		2,240	29504	HOME DEPOT INC	44 1/4		99,120.00
12/19		1,890	33240	HEWLETT PACKARD CO	34		64,260.00
12/19		1,680	36976	INTERNATIONAL BUSINESS MACHS	92 1/4		154,980.00
12/19		6,440	40712	INTEL CORP	35 1/2		228,620.00
12/19		1,330	44445	JOHNSON & JOHNSON	99		131,670.00
12/19		2,380	48181	COCA COLA CO	57 3/16		136,106.25
12/19		3,290	51917	LUCENT TECHNOLOGIES INC	18 7/8		62,098.75
12/19		2,240	55653	MERCK & CO	91 3/8		204,680.00
12/19		5,110	59389	MICROSOFT CORP	56 1/2		288,715.00
12/19		1,050	63125	MORGAN STANLEY DEAN WITTER CO	68 1/4		71,662.50
12/19		2,800	66861	NORTEL NETWORKS CORP HLDS CO	38 1/4		107,100.00
12/19		5,040	78069	ORACLE CORPORATION	29		146,160.00
12/19		1,400	81804	PEPSICO INC	49 5/8		69,475.00
12/19		2,240	84702	AMERICAN INTL GROUP INC	96 5/8		216,440.00
12/19		1,260	85539	PROCTER & GAMBLE CO	74 1/4		93,555.00
				CONTINUED ON PAGE 3			



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12/19		980	88437	AMGEN INC	64		62,720.00
12/19		1,260	89275	PHARMACIA CORP	59 1/4		74,655.00
12/19		2,240	92166	AMERICA ONLINE CORP	49.940		111,865.60
12/19		3,640	93011	AT & T CORP	22 1/4		80,990.00
12/19		1,260	95902	AMERICAN EXPRESS COMPANY	55 3/8		69,772.50
12/19		1,680	96747	TEXAS INSTRUMENTS INC	50 1/8		84,210.00
12/19		840	99636	BOEING CO	67		56,280.00
12/19		125,000	26847	U S TREASURY BILL DUE 01/18/2001	99.540		124,425.00
				1/18/2001			
12/19		275,000	29681	U S TREASURY BILL DUE 03/01/2001	98.850		271,837.50
				3/01/2001			
12/19		275,000	30263	U S TREASURY BILL DUE 03/08/2001	98.740		271,535.00
				3/08/2001			
12/19		175,000	31050	U S TREASURY BILL DUE 01/11/2001	99.650		174,387.50
				1/11/2001			
12/19	1,000,000		34314	U S TREASURY BILL DUE 02/01/2001	99.320	993,200.00	
				2/01/2001			
12/19	1,000,000		34740	U S TREASURY BILL DUE 02/08/2001	99.200	992,000.00	
				2/08/2001			
				CONTINUED ON PAGE 4			

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